

OFFICE OF NAVAL RESEARCH

Contract N00014-89-J-1549

R&T Proj: 413f003-06

Task No.

Technical Report No. 8

Atomic Force Microscopy and Scanning Tunneling Microscopy of Charge Density

Waves and Atoms in 1T-TaSe₂ and 1T-TaS₂

Prepared for Publication in

Phys. Rev. B 42, 9255-9258 (1990)

Department of Physics

University of California

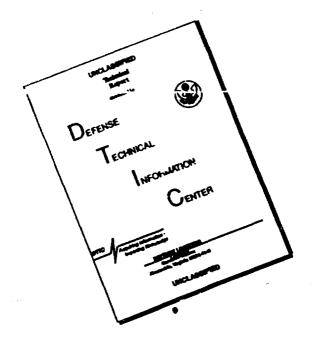
Santa Barbara, CA 93106



Reproduction in whole or in part is permitted for any purpose of the United States Government.

*This document has been approved for public release and sale; its distribution is unlimited.

ISCLAIMER NOTICE



THIS DOCUMENT IS BEST QUALITY AVAILABLE. THE COPY FURNISHED TO DTIC CONTAINED A SIGNIFICANT NUMBER OF PAGES WHICH DO NOT REPRODUCE LEGIBLY.

UNCLASSIFIED
SECURITY CLASSIFICATION OF THIS PAGE

| REPORT DOCUMENTATION PAGE | | | | | | | | Form Approved OMB No. 0704-0188 | |
|--|--|-----------------|-----------------------------|---|--|--|-------------------------|------------------------------------|--|
| 18. REPORT S | ECURITY CLAS | SIFICATI UNC | LASSIFIE | 0 | 16. RESTRICTIVE MARKINGS NONE | | | | |
| | CLASSIFICATION | | N/. | ile | 3. DISTRIBUTION/AVAILABILITY OF REPORT APPROVED FOR PUBLIC RELEASE; DISTRIBUTION UNLIMITED | | | | |
| 4. PERFORMIN | NG ORGANIZA | TION RE | | ERIS) | S. MONITORING ORGANIZATION REPORT NUMBER(S) | | | | |
| Departme | PERFORMING int of Physics, Barbara, CA | | IZATION | 6b. OFFICE SYMBOL (If applicable) | 7a. NAME OF MONITORING ORGANIZATION Office of Naval Research | | | | |
| Departme University | (City, State, and the of Physics of California bara, CA 9316 | | ode) | • | 7b. ADDRESS (City, State, and ZIP Code) | | | | |
| 8a. NAME OF FUNDING/SPONSORING ORGANIZATION Office of Naval Research 8b. Office SYMBO (If applicable) | | | | | 9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER Grant Number: N00014-89-J-1549 10. SOURCE OF FUNDING NUMBERS | | | | |
| Departmei 800 N. Qu | City, State, and not of the Navy incy Street VA 22217 | i ZIP Co | de) | | PROGRAM ELEMENT NO. | PROJECT NO. | TASK NO. | WORK UNIT ACCESSION NO | |
| | ude Security C | lassifica | tion) Atom | ic force microscopy as | nd scanning tunne in 1 <i>T-</i> TaSe ₂ an | ling microscop d 1 <i>T-</i> TaS ₂ | y of charge | e-density waves | |
| 12. PERSONAL | . AUTHOR(S) | | C. G. Slou | gh, W. W. McNairy, and | | | بارد بدورست. | | |
| 13a, TYPE OF REPORT 13b. TIME of FROM 90F | | | OVERED abou to TC91Sep30 | 14. DATE OF REPORT (YEST. Month, Day) 15. PAGE COUNT 1990 May | | | | | |
| 16. SUPPLEME | NTARY NOTAI | TION | | | | | | | |
| 17. FIELD | FIELD GROUP SUB-GROUP atomic force mi | | | | | Continue on reverse if necessary and identify by block number) croscope, AFM, STM, optical imaging, biology, anning, resolving, forces, organic, microscopy, | | | |

19. ABSTRACT (Continue on reverse if necessary and identify by block numbers

Atomic force microscopy (AFM) and scanning tunneling microscopy (STM) images of 17-TaSe; and 17-TaS; at room temperature reveal both atoms and charge-density waves (CDW's). In AFM the atoms and CDW's have comparable amplitudes. In contrast, in STM the CDW's have amplitudes up to an order of magnitude larger than the atoms. Both AFM and STM images show that the CDW structure of 17-TaS2 is continuously incommensurate while 17-TaSe2 is commensurate.

| . DISTRIBUTION/AVAILABILITY OF ABSTRACT TUNCLASSIFIED/UNLIMITED TESAME AS RE | | f - | URITY CLASSIFICATION | UNCLASSIFIED |
|---|-----------------------|-----------|-----------------------|-----------------------|
| NAME OF RESTANSIBLE INDIVIDUAL | | | clude Area Code) 225. | STACE TAMBOR |
| Form 1473, JUN 86 | Previous editions are | obsolete. | SECURITY CLASS | FICATION OF THIS PAGE |

15 NOVEMBER 1990-I

Atomic force microscopy and scanning tunneling microscopy of charge-density waves in 1T-TaSe₂ and 1T-TaS₂

C. G. Slough, W. W. McNairy, and R. V. Coleman Department of Physics, University of Virginia, Charlottesville, Virginia 22901

J. Garnaes, C. B. Prater, and P. K. Hansma

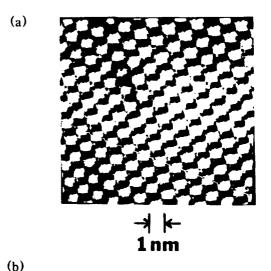
Department of Physics, University of California, Santa Barbara, Santa Barbara, California 93106 (Received 15 June 1990)

Atomic force microscopy (AFM) and scanning tunneling microscopy (STM) images of 1T-TaSe₂ and 1T-TaS₂ at room temperature reveal both atoms and charge-density waves (CDW's). In AFM the atoms and CDW's have comparable amplitudes. In contrast, in STM the CDW's have amplitudes up to an order of magnitude larger than the atoms. Both AFM and STM images show that the CDW structure of 1T-TaS2 is continuously incommensurate while 1T-TaSe2 is commensurate.

The charge-density wave (CDW) structure and the atomic structure in 1T-TaSe2 and 1T-TaS2 have been imaged using both the scanning tunneling microscope¹ (STM) and the atomic force microscope (AFM). The scans have been carried out at room temperature using freshly cleaved crystals in air and in helium gas. The long-range electronic charge modulation induced by the CDW below ~600 K is clearly resolved by both types of instruments, but the relative ratios of the atomic to CDW modulation amplitudes show major differences in the images obtained using the two types of instruments.

In this paper we present the first complete comparison of the commensurate CDW structure in 1T-TaSe, and the incommensurate CDW structure in 1T-TaS2 as measured by both STM and AFM methods at room temperature. The AFM results along with the recent results of Barrett, Nogami, and Quate² represent the first successful detection of the CDW modulation with the AFM. In addition, the equal amplitudes of the CDW's and atoms in the AFM scans allow high-quality Fourier transforms (FT's) to be obtained. These along with accurate profiles show that the CDW structure in 1T-TaS₂ at room temperature is continuously incommensurate rather than forming commensurate regions separated by localized discommensurations as suggested by Wu and Lieber. The STM used for these experiments was a custom designed instrument which operates at all temperatures down to 4.2 K and has been previously described in Ref. 1. The AFM was a commercial instrument built by Digital Instruments of Santa Barbara, California. The STM uses a Pto 8Iro.2 tip and the AFM a Si₃N₄ tip. 5

In the case of 1T-TaSe₂ the CDW has a wavelength of $\sqrt{13} \ a_0$ and remains commensurate up to 473 K. A STM scan on 1T-TaSe, at room temperature in helium gas is shown in Fig. 1(a) and shows an extremely strong CDW modulation with a superimposed pattern of atoms that remains identical over the entire scan. As shown in the profile of Fig. 1(b) taken along the track indicated in Fig. I(a) the z deflection is completely dominated by the CDW amplitude and the atoms contribute a very small



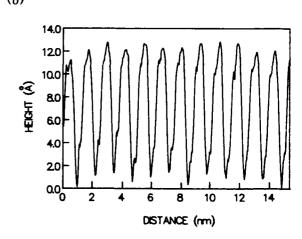


FIG. 1. (a) Grey-scale STM image of 1T-TaSe₂ taken at room temperature showing a uniform pattern of atoms and CDW's which maintain a constant phase relation characteristic of a commensurate structure (I = 2 nA, V = 25 mV). (b) Profile of the z deflection taken along the line indicated in (a). The CDW amplitude is constant also indicating a commensurate structure and the atoms contribute a very small fraction of the total z deflection.

42

fraction to the total amplitude. The CDW amplitude also remains constant over the entire profile and this, along with the constant phase observed in the grey scale of Fig. 1(a), indicates a completely commensurate structure with the CDW superlattice making an angle of 13.9° with the atomic lattice.

The corresponding AFM scan of 1T-TaSe₂ at room temperature is shown in Fig. 2(a). The CDW maxima remain in phase with the atoms and the profile as shown in Fig. 2(b) shows a constant amplitude for the CDW modulation again indicating a completely commensurate structure. The Fourier transform of the grey-scale image of Fig. 2(a) gives a rotation angle between the atomic lattice and CDW superlattice of $14.2^{\circ} \pm 0.5^{\circ}$ consistent with a commensurate structure. In contrast to the STM scans the AFM scans show the atomic modulation amplitude to be as large or larger than the CDW modulation, both lying in the range 0.5-1 Å. In general the total AFM modulation amplitude is observed to be relatively stable in the range 1-2 Å while the STM shows a variable range of 2-10 Å.

In contrast to 1T-TaSe₂ the CDW in 1T-TaS₂ at room temperature is incommensurate with the lattice and exhibits a long-range modulation of the CDW amplitude as a result. This long-range modulation of the CDW ampli-

(a) $\frac{1}{2}$ nm $\frac{1}{2}$ $\frac{1}{2}$

FIG. 2. (a) Grey-scale AFM image of 4I-TaSe₂ taken at room temperature. A uniform pattern of atoms and CDW's maintaining a constant phase relation indicates a commensurate structure as observed in the STM images (constant force mode with force $\approx 10^{-18}$ newton). (b) Profile of z deflection showing a constant amplitude consistent with a commensurate structure.

tude is detected in both the STM and AFM scans and leads to a two-dimensional domain-like structure. This has been previously studied using STM by a number of groups including Thomson et al., Wu and Lieber, and Giambattista et al. This two-dimensional modulated structure is demonstrated in the processed grey-scale STM scan of Fig. 3(a) where the look-up table has been adjusted to accentuate the regions of different CDW amplitude. The profiles obtained from the original grey-scale scans show a continuous CDW amplitude modulation as demonstrated in Fig. 3(b) where the maximum CDW amplitude occurs at the center of the domain.

The AFM results on 1T-TaS₂ confirm the same longrange modulation structure as observed with the STM. Figure 4(a) shows a grey-scale AFM scan where the twodimensional modulated structure is clearly present and the profile of Fig. 4(b) indicates a continuous variation of the CDW amplitude over ~6 CDW wavelengths in agreement with the STM results. Both measurements show an angle of rotation of ~12° between the CDW superlattice and the atomic lattice. As was the case in 1T-TaS₂ the atomic and CDW amplitudes in the AFM scans on 1T-TaS₂ are again comparable with measured values in the range 0.5-1 Å.

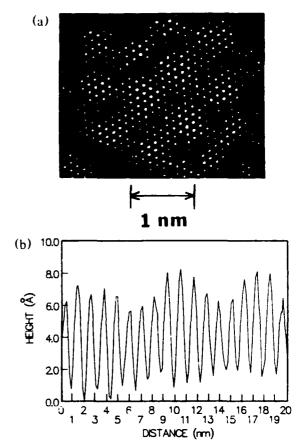
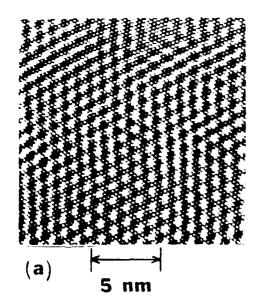


FIG. 3. (a) Grey-scale STM image of 1T-TaSs taken at room temperature with a look-up table adjusted to emphasize the two-dimensional domain-like structure resulting from a variation of the CDW amplitude ($I=2.2\,$ nA, $V=25\,$ mV). (b) Profile of the z deflection measured along a row of CDW maxima in (a). The CDW amplitude undergoes a continuous modulation with a period of $\sim 6\,$ CDW wavelengths.



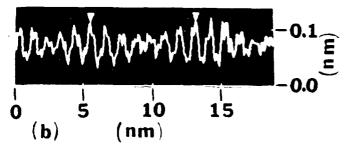


FIG. 4. (a) Grey-scale AFM image of 1T-TaS₂ taken at room temperature. The incommensurate CDW produces a clearly visible modulated structure. The atoms and CDW's contribute approximately equal amplitudes to the AFM image (constant force mode with force $\approx 10^{-8}$ newtons). (b) Profile of the z deflection along a row of CDW maxima in (a). The profile exhibits a continuous amplitude modulation of the CDW with a period of ~ 6 CDW wavelengths.

The STM and AFM images of 1T-TaSe and 1T-TaSe are in basic agreement with respect to the CDW structures in both materials at room temperature. The major difference between the two types of image is the relative amplitude of the atomic and CDW modulation. The STM responds to the local density of states (LDOS) at the Fermi level and many previous studies have indicated that the CDW in the 1T phases of TaSe, and TaS, involves a very strong charge transfer centered on thirteenatom clusters of Ta. The resulting Fermi-surface annihilation and k+G mixing can produce electronic singularities 1.8 in the LDOS. The AFM, on the other hand, samples the total electronic charge density at the surface which contributes to the atomic force measured by the AFM tip. The CDW occurs mainly in the d bands contributed by the Ta-atom layer and the associated static charge can be partially screened so that it makes a reduced contribution to the total force at the surface S or Se layer. In this respect the comparison of the STM and AFM results tends to confirm that the strong STM response to the CDW results from an electronic modification at the Fermi level.

Barrett et al.² have recently carried out AFM-STM measurements on 1T-TaS₂ at room temperature and we confirm their results with respect to the relative atomic to CDW amplitudes. They report CDW amplitudes of 0.1-0.3 Å in approximate agreement with the periodic lattice distortion (PLD) perpendicular to the S layer as measured by helium atom scattering and x-ray diffraction. ¹⁰

Our AFM results show CDW amplitudes of 0.3-1.0 Å which are larger than the measured PLD's. This indicates that the CDW charge may not be completely screened at the surface allowing the AFM to detect this unscreened charge in addition to the PLD associated with the CDW. Barrett et al. 2 also report some disorder in the CDW images of 1T-TaS2 when using an unmetalized cantilever. This was attributed to the effects of tip pressure and a similar argument was advanced by Meyer et al. 11.12 as a possible explanation for their failure to detect PLD's with the AFM. Our AFM results with unmetalized cantilevers do not detect disorder in the CDW pattern.

The AFM and STM results on 1*T*-TaS₂ at room temperature provide evidence that the CDW amplitude is continuously modulated rather than constant within commensurate regions which would then be separated by localized discommensurations and abrupt phase slips between the CDW and the lattice. Although a two-dimensional domain-like structure is observed as discussed originally by Nakanishi and Shiba. ^{13,14} we do not see evidence of any substantial regions of commensurate CDW formation. Rather the domain-like structure is consistent with a continuous modulation of the CDW amplitude and a continuous phase shift of the CDW with respect to the

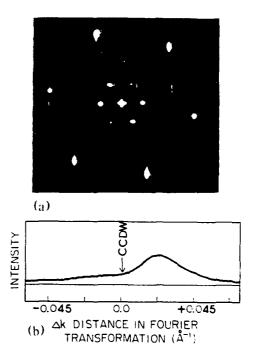


FIG. 5. (a) Fourier transform of the AFN1 image shown in Fig. 4(a). The two sets of spots in a hexagonal pattern represent the CDW superlattice and the atomic lattice. The relative angles of rotation measured using pairs of spots are in the range $11.7-12.2^{\circ}$ with an average value of $11.8^{\circ}\pm0.4^{\circ}$ indicating continuous incommensurate CDW's. (b) Arrow on spot profile shows commensurate wave-vector position.

9258

lattice. The FT of the 27×27 nm² data set from which the AFM scan in Fig. 4(a) was taken is shown in Fig. 5(a) and gives a measured rotation angle of $11.8^{\circ} \pm 0.4^{\circ}$. A CDW spot profile from the FT is shown in Fig. 5(b) with an arrow marking the commensurate k-vector position. No evidence of enhanced intensity at the commensurate angle is observed.

The extent to which the CDW superlattice vector deviates from the average angle in local regions cannot be resolved in direct graphical measurement from the STM and AFM images. The continuous variation of the CDW amplitude with a superimposed atomic pattern running at an average angle of ~12° produces a combined local variation in the CDW and atomic pattern that does not allow a precise separation.

In conclusion the scans on 1T-TaS₂ using both STM and AFM show patterns and profiles consistent with a continuously modulated CDW amplitude and a CDW structure predominantly incommensurate with the lattice. The main difference between the AFM and STM re-

sponses occurs in the relative atom to CDW amplitudes. In the AFM images the atom and CDW amplitudes are comparable while in the STM images the CDW amplitude dominates. This difference appears to reflect the expected intrinsic difference in the response of tunneling versus force measurements.

This work has been supported by the U.S. Department of Energy Grant No. DE-FG05-84ER4507 [University of Virginia (UVA)], the National Science Foundation Solid State Physics Program Grant No. DMR-89-17164 [University of California, Santa Barbara (UCSB)] and the U.S. Office of Naval Research (UCSB). One of the authors (J.G.) wishes to acknowledge the support of the Danish Research Academy Grant No. J-NR-S900078. The authors wish to thank V. Elings and J. Masse of Digital Instruments for the valuable technical help with the atomic force microscope. Useful discussions have been held with R. E. Thomson, J. Clarke, L. M. Falicov, C. F. Quate, R. C. Barrett, and V. Celli.

| Accesion for | | | | | | | |
|---------------------|---------------------|-------|--|--|--|--|--|
| NTIS | CRA&I | 7 | | | | | |
| DTIC | TAB | ă | | | | | |
| Unannounce d | | | | | | | |
| Justification | | | | | | | |
| | | | | | | | |
| Ву | | | | | | | |
| Distribution (| | | | | | | |
| A | vailabili ty | Codes | | | | | |
| Dist | Avail and | | | | | | |
| 2.3 | Specia | it. | | | | | |
| DI | NU | | | | | | |
| 17/7 | W | | | | | | |
| | | | | | | | |

DTIC QUALITY INSPECTED 3

¹R. V. Coleman, B. Giambattista, P. K. Hansma, A. Johnson, W. W. McNairy, and C. G. Slough, Adv. Phys. 37, 559 (1988).

²R. C. Barrett, J. Nogami, and C. F. Quate, Appl. Phys. Lett. 57, 992 (1990).

³Xian Liang Wu and Charles M. Lieber, Science 243, 1703 (1989); Phys. Rev. Lett. 64, 1150 (1990).

⁴Digital Instruments, Inc., 6780 Cortona Drive, Santa Barbara, CA 93117. This instrument has excellent stability and resolution and produces images comparable to the best STM images.

⁵Microfabricated Si₃N₄ AFM tips were obtained from Park Scientific Instruments, 476 Ellis Street, Mountain View, CA 94043.

⁶R. E. Thomson, U. Walter, E. Ganz, J. Clarke, A. Zettl, P.

Rauch, and F. J. Di Salvo, Phys. Rev. B 38, 10734 (1988).

⁷B. Giambattista, C. G. Slough, W. W. McNairy, and R. V. Coleman, Phys. Rev. B 41, 10082 (1990).

⁸J. Tersoff, Phys. Rev. Lett. 57, 440 (1986).

⁹P. Cantini, G. Boato, and R. Colella, Physica 99B, 59 (1980).

¹⁰R. Brouwer and F. Jellinek, Physica 99B, 51 (1980).

¹¹E. Meyer, D. Anselmetti, R. Wiesendanger, H. J. Güntherodt, F. Levy, and H. Berger, Europhys. Lett. 9, 695 (1989).

¹²E. Meyer, R. Wiesendanger, D. Anselmetti, H. R. Hidber, H. J. Güntherodt, F. Levy, and H. Berger, J. Vac. Sci. Technol. A 8, 495 (1990).

¹³Kazuo Nakanishi and Hiroyuki Shiba, J. Phys. Soc. Jpn. 43, 1839 (1977); **53**, 1103 (1984).

¹⁴Kazuo Nakanishi, Hiroshi Takatera, Yasusada Yamada, and Hiroyuki Shiba, J. Phys. Soc. Jpn. 43, 1509 (1977).